



03-26-04

EXPRESS MAIL NO. EV 449558325US

# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	10/617,371
Filing Date	July 10, 2003
First Named Inventor	Motohide Yamazaki
Art Unit	1632
Examiner Name	
Attorney Docket No.	850136.402D1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Copy of Updated Filing Receipt with Correction</u> <hr/> <hr/> <hr/>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	Stephen J. Rosenman, Ph.D.	Customer Number 00500
Signature		
Date	March 24, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
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EXPRESS MAIL NO. EV 449558325US  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Motohide Yamazaki et al.  
Application No. : 10/617,371  
Filed : July 10, 2003  
For : PRODUCTION OF EXOPOLYSACCHARIDES UNATTACHED  
TO THE SURFACE OF BACTERIAL CELLS

Art Unit : 1632  
Docket No. : 850136.402D1  
Date : March 24, 2004

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

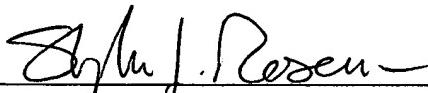
Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered. There is an error with respect to the residence of the second inventor. This information should read: Marcia Mikolajczak, Encinitas, CA.

The correction to be made has been marked in red on the enclosed copy of the Updated Filing Receipt.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
Stephen J. Roseman, Ph.D.  
Registration No. 43,058

SJR:kw

Enclosures:

- Postcard
- Copy of Updated Filing Receipt with Correction

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

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O P E  
MAR 24 2004  
P A T E N T & T R A D E M A R K S  
U N I T E D S T A T E S P A T E N T A N D T R A D E M A R K O F F I C E

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/617,371	07/10/2003	1632	986	850136.402D1		13	4

00500  
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
701 FIFTH AVE  
SUITE 6300  
SEATTLE, WA 98104-7092

CONFIRMATION NO. 1475

UPDATED FILING RECEIPT



\*OC000000011677435\*

Date Mailed: 01/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Motohide Yamazaki, San Diego, CA;  
Marcia Mikolajczak, ~~Encinitas, CA~~, Encinitas, CA  
Thomas J. Pollock, San Diego, CA;  
Richard W. Armentrout, La Jolla, CA;

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LAW GROUP PLLC

Assignment For Published Patent Application

Shin-Etsu Bio, Inc., San Diego, CA;  
Shin-Etsu Chemical Co., Ltd., Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/905,829 07/13/2001 PAT 6,605,461  
which claims benefit of 60/220,145 07/24/2000

Foreign Applications

If Required, Foreign Filing License Granted: 10/07/2003

Projected Publication Date: 04/22/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Production of exopolysaccharides unattached to the surface of bacterial cells

**Preliminary Class**

435

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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